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## CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being enclosed) is being deposited with the United States Postal Service on the date shown below as first class mail with sufficient postage in an envelope addressed to Box PCT, Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231.

Date: 6/28/02

Jennifer A. Moore  
Jennifer A. Moore

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re NATIONAL PHASE of:

Applicant(s): Prof. Dr.-Ing. Carl Kramer  
Inter. Appln. No: PCT/EP00/08828  
Inter. Filing Date: September 8, 2000  
U.S. Serial No: 10/070,651  
Title: METHOD FOR HEAT TREATING METALLIC EXTRUSION BILLETS  
Docket No. SCHWP0158US

## COMPLETION OF FILING REQUIREMENTS UNDER 35 U.S.C. 371

BOX PCT  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a response to the Office notice, copy attached, dated June 4, 2002.

- ☒ The Declaration(s) is/are enclosed herewith. The above-identified application, on information and belief, is the application which the inventor(s) executed by signing the declaration(s) which sets forth the name(s) of the inventor(s) and the title of the invention.
- ☒ An accurate translation of the application is enclosed herewith.
- ☐ An abstract of the technical disclosure is enclosed.
- ☒ The filing fee or balance thereof is hereby being paid. The amount paid reflects entry of any accompanying preliminary amendment.
- ☒ A preliminary amendment is enclosed.
- ☐ Replacement drawings.
- Other:
- ☐ Assignment and cover sheet enclosed.

RECEIVED 05 JUL 2002 #7

[X] Small Entity Status is claimed.

The following fees are hereby being paid:

Fee Calculation					Fee
Claims*	# pending	# already paid	# extra	Rate	
Total claims	16	20	0	\$18.00	\$0.00
Independent claims	7	3	4	\$84.00	\$336.00
Multiple dependent claims (if applicable)				\$280.00	
Total of above					\$336.00
Surcharge for late filing of the declaration				\$130.00	\$130.00
Small entity status claimed (1 if Yes, 0 if No) →			1		
				Filing Fee	\$233.00
Non-English language specification				\$130.00	\$130.00
Assignment Recordal Fee				\$40.00	
Total Fees					\$363.00

\*After any attached preliminary amendment reducing the number of claims and/or deleting multiple dependencies.

[X] Enclosed is a check covering the aforesaid fees.

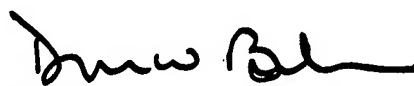
☐ Charge Deposit Account No. 18-0988 under the above shown Docket Number.

The Commissioner is hereby authorized to charge any additional fees associated with this communication, or credit any overpayment, to Deposit Account No. 18-0988. Please reference the above-shown docket number.

Respectfully submitted,

07/10/2002 GFREY1 00000123 10070651

01 FC:254	65.00 OP
02 FC:965	168.00 OP
03 FC:156	130.00 OP



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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/070,651	Dr - Ing. Carl Kramer	SCHWEP0158US

INTERNATIONAL APPLICATION NO.
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PCT/EP00/08828

I.A. FILING DATE	PRIORITY DATE
09/08/2000	09/10/1999

Don W Bulson  
Renner Otto Boisselle & Sklar  
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CONFIRMATION NO. 9221

371 FORMALITIES LETTER



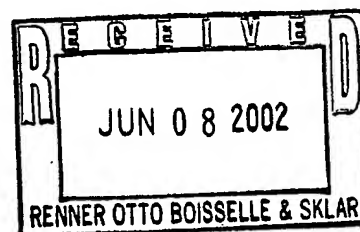
\*OC00000008202870\*

Date Mailed: 06/04/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

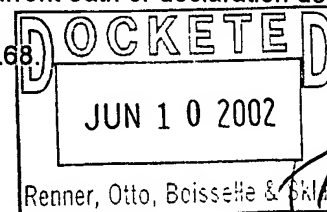
The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Assignee Statement
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Request for Immediate Examination
- Small Entity Statement



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English.
- Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 30 months from the priority date (37 CFR 1.492(f)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.



8/4/02

- **\$65** Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$195** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

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**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/070,651	PCT/EP00/08828	SCHWP0158US